



# **Series 87 Exam Secrets Study Guide: Series 87 Test Review for the Research Analyst Exam**

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**Get the test prep help you need to be successful on the Series 87 test.**

The Series 87 Exam is extremely challenging and thorough test preparation is essential for success. ***Series 87 Exam Secrets Study Guide*** is the ideal prep solution for anyone who wants to pass the Series 87 Exam.

***Series 87 Exam Secrets Study Guide*** includes:

- A thorough overview of the Series 87 Exam
- An extensive review of the securities act
- A breakdown of NYSE rules
- An in-depth look at FINRA rules

It's filled with the critical information you'll need in order to do well on the test: the concepts, procedures, principles, and vocabulary that the Financial Industry Regulatory Authority (FINRA) expects you to have mastered before sitting for the exam.

## **The Securities Act section covers:**

- Securities and exchange act of 1934
- Securities registration
- Accredited investors
- Information announcements prior to registration date
- Regulation D

## **The NYSE Rules section covers:**

- Rule 472
- Rule 791

## **The FINRA Rules section covers:**

- Registration of research analysts
- FINRA notification
- Conflict of interest
- Initial public offering
- Rule 2711
- Standards for security futures communications
- Rule 2220

These sections are full of specific and detailed information that will be key to passing the Series 87 Exam. Concepts and principles aren't simply named or described in passing, but are explained in detail. The guide is

laid out in a logical and organized fashion so that one section naturally flows from the one preceding it. Because it's written with an eye for both technical accuracy and accessibility, you will not have to worry about getting lost in dense academic language.

We've helped thousands of people pass standardized tests and achieve their education and career goals. We've done this by setting high standards for our test preparation guides, and our *Series 87 Exam Secrets Study Guide* is no exception. It's an excellent investment in your future.



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